

EQUIRUS SECURITIES PRIVATE LIMITED

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Annexure B

EQUIRUS SECURITIES PRIVATE LIMITED (RESEARCH ANALYST COMPLAINT DATA)

Data for month ending March 2024

Sr.	Received	Pending at	Received	Resolved*	Total	Pending	Average
No.	from	the end of			Pending	complaints	Resolution
		last month			#	> 3months	time^
							(in days)
1	Directly from	Nil	0	0	N.A.	N.A.	
	Investors						
2	SEBI (SCORES)	Nil	0	0	N.A.	N.A.	
3	Other sources	Nil	0	0	N.A.	N.A.	
	(if any)						
	Grand Total	Nil	0	0	N.A.	N.A.	

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2023	Nil	0	N.A.	N.A.
2	May 2023	Nil	0	N.A.	N.A.
3	June 2023	Nil	0	N.A.	N.A.
4	July 2023	Nil	0	N.A.	N.A.
5	August 2023	Nil	0	N.A.	N.A.
6	September 2023	Nil	0	N.A.	N.A.
7	October 2023	Nil	0	N.A.	N.A.
8	November 2023	Nil	0	N.A.	N.A.
9	December 2023	Nil	0	N.A.	N.A.
10	January 2024	Nil	0	N.A.	N.A.
11	February 2024	Nil	0	N.A.	N.A.
12	March 2024	Nil	0	N.A.	N.A.

^{*} Includes complaints of previous months resolved in the current month, if any.

Trend of annual disposal of complaints

Sr.	Year	Carried forward from	Received	Resolved**	Pending ^{##}
No.		previous year	during year		
1	2018-19	Nil	0	N.A.	N.A.
2	2019-20	Nil	0	N.A.	N.A.
3	2020-21	Nil	0	N.A.	N.A.
4	2021-22	Nil	0	N.A.	N.A.
5	2022-23	Nil	0	N.A.	N.A.
6	2023-24	Nil	0	N.A.	N.A.
	Grand Total	Nil	0	N.A.	N.A.

^{**} Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year.

[#] Includes total complaints pending as on the last day of the month, if any.