

**DEBT MARKET - INVESTOR COMPLAINTS DATA**

**Name of Merchant Banker** : Equirus Capital Private Limited  
**SEBI Registration Number** : INM000011286  
**Data for the month ending** : March 2024

**Activity:** Consolidated Report

S.N.	Received from	Pending as at the end of last month (Feb'24)	Received during the particular month (March'24)	Resolved during the particular month* (March'24)	Total Pending during the particular month # (March'24)	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges(if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
5.	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-</b>

**The trend of monthly disposal of complaints for the financial year-**

S.N.	Month	Carried forward from the previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	April 2023	0	0	0	0
2.	May 2023	0	0	0	0
3.	June 2023	0	0	0	0
4.	July 2023	0	0	0	0
5.	August 2023	0	0	0	0
6.	September 2023	0	0	0	0
7.	October 2023	0	0	0	0
8.	November 2023	0	0	0	0
9.	December 2023	0	0	0	0
10.	Marchuary 2024	0	0	0	0
11.	Marchruary 2024	0	0	0	0
12.	March 2024	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**The trend of annual (Financial year) disposal of complaints (for 3 years on a rolling basis)-**

S.N.	Year	Carried forward from previous year	Received during the Particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021-22	NA	0	0	0
2.	2022-23	0	4*	3	0
3.	2023-24	0	0	0	-
	<b>Grand Total</b>	<b>-</b>	<b>4</b>	<b>3</b>	<b>-</b>

\*One complaint does not pertain to primary investment in the public issue of NCDs. We had erroneously reported this as complaint in our record for Aug' 2022 month.

**Notes:**

1. The Company has not handled the following activity since inception & therefore no data has been disclosed:
  - Municipal Debt Securities

**1. Category: Public issue of debt securities**

S.N.	Received from	Pending as at the end of last month (Feb'24)	Received during the particular month (March'24)	Resolved during the particular month* (March'24)	Total Pending during the particular month # (March'24)	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0	8
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges(if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
5.	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-</b>

**The trend of monthly disposal of complaints for the financial year-**

S.N.	Month	Carried forward from the previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	April 2023	0	0	0	0
2.	May 2023	0	0	0	0
3.	June 2023	0	0	0	0
4.	July 2023	0	0	0	0
5.	August 2023	0	0	0	0
6.	September 2023	0	0	0	0
7.	October 2023	0	0	0	0
8.	November 2023	0	0	0	0
9.	December 2023	0	0	0	0
10.	January 2024	0	0	0	0
11.	February 2024	0	0	0	0
12.	March 2024	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**The trend of annual (Financial year) disposal of complaints (for 3 years on a rolling basis)-**

S.N.	Year	Carried forward from previous year	Received during the Particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021-22	NA	0	0	0
2.	2022-23	0	4*	3	0
3.	2023-24	0	0	0	-
	<b>Grand Total</b>	<b>-</b>	<b>4</b>	<b>3</b>	<b>-</b>

\*One complaint does not pertain to primary investment in the public issue of NCDs. We had erroneously reported this as complaint in our record for Aug' 2022 month.

**2. Category: Public issue of non-convertible redeemable preference shares**

S.N.	Received from	Pending as at the end of last month (Feb'24)	Received during the particular month (March'24)	Resolved during the particular month* (March'24)	Total Pending during the particular month # (March'24)	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges(if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
5.	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Trend of monthly disposal of complaints for the financial year-**

S.N.	Month	Carried forward from the previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	April 2023	0	0	0	0
2.	May 2023	0	0	0	0
3.	June 2023	0	0	0	0
4.	July 2023	0	0	0	0
5.	August 2023	0	0	0	0
6.	September 2023	0	0	0	0
7.	October 2023	0	0	0	0
8.	November 2023	0	0	0	0
9.	December 2023	0	0	0	0
10.	Marchuary 2024	0	0	0	0
11.	Marchruary 2024	0	0	0	0
12.	March 2024	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**The trend of annual (Financial year) disposal of complaints (for 3 years on a rolling basis)-**

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021-22	NA	0	0	0
2.	2022-23	0	0	0	0
3.	2023-24	0	-	-	-
	<b>Grand Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**3. Category: Private placement of debt securities and non-convertible redeemable preference shares**

S.N.	Received from	Pending as at the end of last month (Feb'24)	Received during the particular month (March'24)	Resolved during the particular month* (March'24)	Total Pending during the particular month # (March'24)	Pending complaints > 1 month	Average Resolution time <sup>^</sup> (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges (if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
5.	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**The trend of monthly disposal of complaints for the financial year-**

S.N.	Month	Carried forward from the previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	April 2023	0	0	0	0
2.	May 2023	0	0	0	0
3.	June 2023	0	0	0	0
4.	July 2023	0	0	0	0
5.	August 2023	0	0	0	0
6.	September 2023	0	0	0	0
7.	October 2023	0	0	0	0
8.	November 2023	0	0	0	0
9.	December 2023	0	0	0	0
10.	Marchuary 2024	0	0	0	0
11.	Marchruary 2024	0	0	0	0
12.	March 2024	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**The trend of annual (Financial year) disposal of complaints (for 3 years on a rolling basis)-**

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021-22	NA	0	0	0
2.	2022-23	0	0	0	0
3.	2023-24	0	-	-	-
	<b>Grand Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>